Design Parameterization

- Sizing design parameters
 - Material properties
 - Density, modulus, viscosity
 - Boundary conditions
 - Applied loads or displacements
 - Element properties



- Bar cross section, plate thickness, node point locations
- Shape design parameters
 - Coordinates of a single node point location $\ensuremath{\mathfrak{S}}$
 - Relationship between a shape parameter and each of the node locations
 - Geometry-based mesh parameterization
 - Reduced basis approach

Size Optimization

- 2nd ASCE Conference on Electronic Computation, Pittsburgh, PA, September 8-9, 1960
 - R. W. Clough, The Finite Element Method in Plane Stress Analysis
 - L. A. Schmit, Structural Design by Systematic Synthesis
- Weight minimization with stress and displacement constraints
 - Truss, beam, other space frame type structures
 - Aerospace and civil engineering





Truss System

- Tensile bar of length /
 - Uniform material (Young's modulus E), cross section (area A)
- Axially loaded bars joined together at pinned joints

- Transfer forces, but not moments



$$\sigma = \frac{P}{A}, \ \varepsilon = \frac{\sigma}{E} = \frac{P}{EA}, \ \Delta l = l\varepsilon = \frac{Pl}{EA}$$

```
Truss.NodeXY = [2 1 0 1; 0 1 0 2];
Truss.Connectivity = [1 3; 1 2; 2 3; 2 4]';
Truss.E = 2e11*[1 1 1 1];
Truss.Area = 0.0001*[1 1 1 1];
Truss.FreeNodes = [1 2];
Truss.Force = [0 -100;0 0]';
```

D1

Node 1: downward vertical force P Node 3, 4: pinned

Truss Analysis

- Find the displacements $u \equiv \{u_1, v_1, u_2, v_2, ...\}^T$
- Linear approximation of spring systems





$$\{u_1, v_1, u_2, v_2\} = 10^4 [-0.1 \quad -0.583 \quad 0.24 \quad -0.1]$$

$$\sigma = E \frac{\Delta l}{l}$$

$$\{\sigma_1, \sigma_2, \sigma_3, \sigma_4\} = 10^6 [-1 \quad 1.41 \quad 1.41 \quad 2]$$

$$\sigma_1 = -\frac{P}{A_1}, \sigma_2 = \frac{\sqrt{2P}}{A_2}, \sigma_3 = \frac{\sqrt{2P}}{A_3}, \sigma_4 = \frac{2P}{A_4}$$

Truss Optimization

 Find the optimal areas such that the total volume of the truss system is minimized, with the following constraints: (1) the tip displacement of node-1 does not exceed 0.01, and (2) the stresses within the members lies between 160MPa and -80 MPa.

$$\underbrace{Minimize_{A_{i}} \sum_{i=1}^{4} A_{i}l_{i}}_{v_{1} - 0.01 \leq 0} \\ \sigma_{i} - 160 \times 10^{6} \leq 0, \ i = 1, \dots, 4 \\ -\sigma_{i} + 80 \times 10^{6} \leq 0, \ i = 1, \dots, 4 \\ -\sigma_{i} + 80 \times 10^{6} \leq 0, \ i = 1, \dots, 4 \\ -A_{i} + 10^{-8} \leq 0, \ i = 1, \dots, 4 \\ -\frac{A_{i}}{10^{-8}} + 1 \leq 0, \ i = 1, \dots, 4 \\ -\frac{A_{i}}{1$$

Truss Optimization: Matlab

```
Truss = PreprocessTruss(Truss);
Truss.SigmaTensionMax = 160e6;
Truss.SigmaCompressionMax = 80e6;
Truss.DeflectionMax = 0.01;
Truss.AMin = 1e-8;
Truss.VolumeScale = 1e6;
options = optimset('LargeScale','off','Diagnostics','Off');
N =length(Truss.Area);
xBar0 = Truss.Area;
LB = Truss.AMin*ones(1,N);
[FinalArea,Vol,Flag,output,lambda] = fmincon(@(x)fObj1(x,Truss),xBar0,...
[],[],[],[],LB,[],@(x)fCon1(x,Truss),options);
```

Truss Sensitivity

$$Ku = f \rightarrow \frac{\partial (Ku)}{\partial A_j} = \frac{\partial (f)}{\partial A_j} \rightarrow \frac{\partial K}{\partial A_j} u + K \frac{\partial u}{\partial A_j} = 0 \rightarrow K \frac{\partial u}{\partial A_j} = -\frac{\partial K}{\partial A_j} u$$
$$\frac{\partial K}{\partial A_j} = \sum_{i=1}^n \frac{\partial k_i}{\partial A_j} \text{ where } \frac{\partial k_i}{\partial A_j} = \begin{cases} \frac{E}{l_i} \begin{bmatrix} \\ \\ 0, i \neq j \end{cases}, i = j$$

```
Truss = PreprocessTruss(Truss);
Truss.SigmaTensionMax = 160e6;
Truss.SigmaCompressionMax = 80e6;
Truss.DeflectionMax = 0.01;
Truss.AMin = 1e-8;
Truss.VolumeScale = 1e6;
options = optimset('LargeScale', 'off', 'Diagnostics', 'Off', ...
                   'GradObj', 'On', 'GradConstr', 'On');
N =length(Truss.Area);
xBar0 = Truss.Area;
LB = Truss.AMin*ones(1,N);
AInEq = -eye(N,N)/Truss.AMin; % Can use these instead of LB
BInEq = -ones(N,1); % Can use these instead of LB
[FinalArea, Vol, Flag, output, lambda] = ...
fmincon(@(x)fObjWithGradients1(x,Truss),xBar0,...
    [],[],[],LB,[],@(x)fConWithGradients1(x,Truss),options);
```

Continuum Tensile Bars

- Non-uniform cross section: A(x)
- Non-uniform material: E(x)
- Body force: b(x)
- Find the displacement of the bar u(x)
- Force balance: $\frac{d}{dx}\left(EA\frac{du}{dx}\right) + b(x)A(x) = 0 + 2B.C.s$
- Minimum potential energy:

$$\underbrace{Minimize}_{u(x)} \left[\frac{1}{2} \int_{0}^{1} EA\left(\frac{du}{dx}\right)^{2} dx - \int_{0}^{1} buAdx \right] \\
\underbrace{\text{Euler-Lagrange equation}}_{\text{Euler-Lagrange equation}} \frac{d}{dx} \left(EA\frac{du}{dx} \right) + bA = 0$$

• Force balance

$$(\sigma + \Delta \sigma) (A(x) + \Delta A) - \sigma A(x) + b(x) A(x) \Delta x = 0$$

$$\sigma \frac{dA}{dx} + \frac{d\sigma}{dx} A(x) + b(x) A(x) = 0$$

$$\frac{d(A\sigma)}{dx} + b(x) A(x) = 0$$

$$\xrightarrow{\sigma = E\varepsilon} \frac{d(EA\varepsilon)}{dx} + b(x) A(x) = 0$$

$$\xrightarrow{\varepsilon = \frac{du}{dx}} \frac{d}{dx} (EA \frac{du}{dx}) + b(x) A(x) = 0$$

$$B.C. \begin{cases} u(0) = 0 \\ \sigma|_{x=l} = 0 \end{cases} \xrightarrow{\varepsilon = \frac{du}{dx}} \begin{cases} u(0) = 0 \\ \frac{du}{dx}|_{x=l} = 0 \end{cases}$$

• Minimum potential energy

$$U = \frac{1}{2} \int_{V} \varepsilon \sigma dV = \frac{1}{2} \int_{0}^{t} \varepsilon \sigma A dx$$
$$= \frac{1}{2} \int_{0}^{t} \frac{du}{dx} E \frac{du}{dx} A dx = \frac{1}{2} \int_{0}^{t} E A \left(\frac{du}{dx}\right)^{2} dx$$
$$W = \int_{0}^{t} b u A dx$$
$$\Pi = \frac{1}{2} \int_{0}^{t} E A \left(\frac{du}{dx}\right)^{2} dx - \int_{0}^{t} b u A dx$$
$$Minimize \left[\frac{1}{2} \int_{0}^{t} E A \left(\frac{du}{dx}\right)^{2} dx - \int_{0}^{t} b u A dx\right]$$
$$u(0) = 0$$

$$\begin{aligned} &\underset{u(x)}{\text{Minimize}} \quad F\left(x,u,\frac{du}{dx}\right) \xrightarrow{\text{Euler-Lagrange}} \frac{\partial F}{\partial u} - \frac{d}{dx}\left(\frac{\partial F}{\partial u'}\right) = 0 \\ &F = \frac{1}{2}EA\left(\frac{du}{dx}\right)^2 - buA \rightarrow \begin{cases} \frac{\partial F}{\partial u} = -bA \\ \frac{\partial d}{\partial x}\left(\frac{\partial F}{\partial u'}\right) = \frac{d}{dx}\left(EA\frac{du}{dx}\right) \end{cases} \rightarrow -bA - \frac{d}{dx}\left(EA\frac{du}{dx}\right) = 0 \\ &\frac{d}{dx}\left(EA\frac{du}{dx}\right) + bA = 0 \end{aligned}$$

Examples

(i)
$$A(x) = A_0, E(x) = E_0, b(x) = b_0$$

 $\rightarrow u(x) = \frac{b_0 l}{E_0} x - \frac{b_0}{2E_0} x^2$
(ii) $A(x) = A_0 e^{-x}, E(x) = E_0, b(x) = \frac{b_0}{1 + x^2}, l = 1$
 $\rightarrow u(x) = 0.8613 \frac{b_0}{E_0} x - 0.3744 \frac{b_0}{E_0} x^2$

Necessary Condition for an Extremum

• Real-valued function f: slope of the tangent

$$f'(x) = \lim_{\varepsilon \to 0} \frac{f(x+\varepsilon) - f(x)}{\varepsilon}$$

• Functional J: (Gâteaux) variation

$$\delta J(x;h) = \lim_{\varepsilon \to 0} \frac{J(x+\varepsilon h) - J(x)}{\varepsilon}$$
$$= \frac{d}{d\varepsilon} J(x+\varepsilon h) \Big|_{\varepsilon=0}$$

where h is any vector in a vector space, X

Function



Calculus of Variations (1)

$$I = \int_{x_1}^{x_2} F(x, y, y') dx, \qquad \underbrace{y(x_1) = y_1 \text{ and } y(x_2) = y_2}_{\text{end condition}}$$

$$Min(Max) \rightarrow y = y(x)?$$

$$y + \delta y = y + \varepsilon h(x)$$

$$\begin{cases} \varepsilon : \text{ amplitude parameter} \\ h(x): \text{ shape function, } h(x_1) = h(x_2) = 0 \\\\ I(\varepsilon) = \int_{x_1}^{x_2} F(x, y + \varepsilon h, y' + \varepsilon h') dx \rightarrow \frac{dI}{d\varepsilon} \Big|_{\varepsilon=0} = 0 \\\\ \frac{\partial F}{\partial y} - \frac{d}{dx} \left(\frac{\partial F}{\partial y'} \right) = 0 \\\\ \frac{1}{y'} \left[\frac{d}{dx} \left(F - \frac{\partial F}{\partial y'} \frac{dy}{dx} \right) - \frac{\partial F}{\partial x} \right] = 0 \end{cases} \rightarrow \text{Euler-Lagrange equation}$$

Calculus of Variations (2)

$$\lim_{\substack{x \to 0 \\ \varepsilon > 0}} \frac{J\left(x^* + \varepsilon h\right) - J\left(x^*\right)}{\varepsilon} \ge 0 \\
\lim_{\substack{x \to 0 \\ \varepsilon < 0}} \frac{J\left(x^* + \varepsilon h\right) - J\left(x^*\right)}{\varepsilon} \le 0$$

$$\lim_{\substack{x \to 0 \\ \varepsilon < 0}} \frac{J\left(x^* + \varepsilon h\right) - J\left(x^*\right)}{\varepsilon} = 0$$

$$I\left(\varepsilon\right) = \int_{x_1}^{x_2} F\left(x, y + \varepsilon h, y' + \varepsilon h'\right) dx \rightarrow \frac{dI}{d\varepsilon} \Big|_{\varepsilon=0} = 0$$

$$\frac{dI}{d\varepsilon} = \int_{x_1}^{x_2} \frac{dF\left(x, y + \varepsilon h, y' + \varepsilon h'\right)}{d\varepsilon} dx$$

$$= \int_{x_1}^{x_2} \left(\frac{\partial F\left(x, y + \varepsilon h, y' + \varepsilon h'\right)}{\partial \varepsilon} - \frac{\partial F\left(x, y + \varepsilon h, y' + \varepsilon h'\right)}{\partial \varepsilon} - \frac{\partial F\left(x, y + \varepsilon h, y' + \varepsilon h'\right)}{\partial \varepsilon} - \frac{\partial F\left(x, y + \varepsilon h, y' + \varepsilon h'\right)}{\partial \varepsilon} - \frac{\partial F\left(x, y + \varepsilon h, y' + \varepsilon h'\right)}{\partial \varepsilon} - \frac{\partial F\left(x, y + \varepsilon h, y' + \varepsilon h'\right)}{\partial \varepsilon} - \frac{\partial F\left(x, y + \varepsilon h, y' + \varepsilon h'\right)}{\partial \varepsilon} - \frac{\partial F\left(x, y + \varepsilon h, y' + \varepsilon h'\right)}{\partial \varepsilon} - \frac{\partial F\left(x, y + \varepsilon h, y' + \varepsilon h'\right)}{\partial \varepsilon} - \frac{\partial F\left(x, y + \varepsilon h, y' + \varepsilon h'\right)}{\partial \varepsilon} - \frac{\partial F\left(x, y + \varepsilon h, y' + \varepsilon h'\right)}{\partial \varepsilon} - \frac{\partial F\left(x, y + \varepsilon h, y' + \varepsilon h'\right)}{\partial \varepsilon} - \frac{\partial F\left(x, y + \varepsilon h, y' + \varepsilon h'\right)}{\partial \varepsilon} - \frac{\partial F\left(x, y + \varepsilon h, y' + \varepsilon h'\right)}{\partial \varepsilon} - \frac{\partial F\left(x, y + \varepsilon h, y' + \varepsilon h'\right)}{\partial \varepsilon} - \frac{\partial F\left(x, y + \varepsilon h, y' + \varepsilon h'\right)}{\partial \varepsilon} - \frac{\partial F\left(x, y + \varepsilon h, y' + \varepsilon h'\right)}{\partial \varepsilon} - \frac{\partial F\left(x, y + \varepsilon h, y' + \varepsilon h'\right)}{\partial \varepsilon} - \frac{\partial F\left(x, y + \varepsilon h, y' + \varepsilon h'\right)}{\partial \varepsilon} - \frac{\partial F\left(x, y + \varepsilon h, y' + \varepsilon h'\right)}{\partial \varepsilon} - \frac{\partial F\left(x, y + \varepsilon h, y' + \varepsilon h'\right)}{\partial \varepsilon} - \frac{\partial F\left(x, y + \varepsilon h, y' + \varepsilon h'\right)}{\partial \varepsilon} - \frac{\partial F\left(x, y + \varepsilon h, y' + \varepsilon h'\right)}{\partial \varepsilon} - \frac{\partial F\left(x, y + \varepsilon h, y' + \varepsilon h'\right)}{\partial \varepsilon} - \frac{\partial F\left(x, y + \varepsilon h, y' + \varepsilon h'\right)}{\partial \varepsilon} - \frac{\partial F\left(x, y + \varepsilon h, y' + \varepsilon h'\right)}{\partial \varepsilon} - \frac{\partial F\left(x, y + \varepsilon h, y' + \varepsilon h'\right)}{\partial \varepsilon} - \frac{\partial F\left(x, y + \varepsilon h, y' + \varepsilon h'\right)}{\partial \varepsilon} - \frac{\partial F\left(x, y + \varepsilon h, y' + \varepsilon h'\right)}{\partial \varepsilon} - \frac{\partial F\left(x, y + \varepsilon h, y' + \varepsilon h'\right)}{\partial \varepsilon} - \frac{\partial F\left(x, y + \varepsilon h, y' + \varepsilon h'\right)}{\partial \varepsilon} - \frac{\partial F\left(x, y + \varepsilon h, y' + \varepsilon h'\right)}{\partial \varepsilon} - \frac{\partial F\left(x, y + \varepsilon h, y' + \varepsilon h'\right)}{\partial \varepsilon} - \frac{\partial F\left(x, y + \varepsilon h, y' + \varepsilon h'\right)}{\partial \varepsilon} - \frac{\partial F\left(x, y + \varepsilon h, y' + \varepsilon h'\right)}{\partial \varepsilon} - \frac{\partial F\left(x, y + \varepsilon h, y' + \varepsilon h'\right)}{\partial \varepsilon} - \frac{\partial F\left(x, y + \varepsilon h, y' + \varepsilon h'\right)}{\partial \varepsilon} - \frac{\partial F\left(x, y + \varepsilon h, y' + \varepsilon h'\right)}{\partial \varepsilon} - \frac{\partial F\left(x, y + \varepsilon h, y' + \varepsilon h'\right)}{\partial \varepsilon} - \frac{\partial F\left(x, y + \varepsilon h, y' + \varepsilon h'\right)}{\partial \varepsilon} - \frac{\partial F\left(x, y + \varepsilon h'$$

Size and Shape Optimization - 14

Calculus of Variations (3)

$$\frac{d}{dx}\left(\frac{\partial F}{\partial y'}\right) - \frac{\partial F}{\partial y} = 0$$

$$\frac{\partial}{\partial x}\left(\frac{\partial F}{\partial y'}\right) + \frac{\partial}{\partial y}\left(\frac{\partial F}{\partial y'}\right)\frac{dy}{dx} + \frac{\partial}{\partial y'}\left(\frac{\partial F}{\partial y'}\right)\frac{dy'}{dx} - \frac{\partial F}{\partial y} = 0$$

$$\left(\frac{\partial^2 F}{\partial y'^2}\right)\frac{d^2 y}{dx^2} + \frac{\partial^2 F}{\partial y \partial y'}\frac{dy}{dx} + \left(\frac{\partial^2 F}{\partial x \partial y'} - \frac{\partial F}{\partial y}\right) = 0 \Leftrightarrow ay'' + by' + c = 0 \text{ with two end points}$$

$$\rightarrow \frac{1}{y'}\left[\frac{d}{dx}\left(F - \frac{\partial F}{\partial y'}\frac{dy}{dx}\right) - \frac{\partial F}{\partial x}\right] = 0$$

$$\begin{cases}F = F\left(y, y'\right) \rightarrow \frac{\partial F}{\partial x} \rightarrow F - \frac{\partial F}{\partial y'}\frac{dy}{dx} = const$$

$$F = F\left(x, y'\right) \rightarrow \frac{\partial F}{\partial y} \rightarrow \frac{\partial F}{\partial y'} = const$$

Euler-Lagrange Equation

$$\int_{x_1}^{x_2} F(x, y, y') dx \quad \text{min or max} \xrightarrow{O}_{?} \text{Euler-Lagrange equation}$$

- Boundary conditions
 - Essential: y(x) is specified at end points, $y(x_1) = y_1$ and/or $y(x_2) = y_2$
 - Natural: y(x) is NOT specified at end points, $\frac{\partial F}{\partial y'}\Big|_{x=x_1} = 0$ and/or $\frac{\partial F}{\partial y'}\Big|_{x=x_2} = 0$

$$F = F(x, y, y') \qquad F = F(y, y') \qquad F = F(x, y')$$
$$\frac{\partial F}{\partial y} - \frac{d}{dx} \left(\frac{\partial F}{\partial y'} \right) = 0 \qquad F - \left(\frac{\partial F}{\partial y'} \right) \frac{dy}{dx} = const \qquad \frac{\partial F}{\partial y'} = const$$

Applications

- Geodesic: path minimization
 - In a plane $I = \int_{x_1}^{x_2} ds = \int_{x_1}^{x_2} \sqrt{(dx)^2 + (dy)^2} = \int_{x_1}^{x_2} \sqrt{1 + {y'}^2} dx$
 - On a general surface ? $I = \int_{x_1}^{x_2} ds$ subject to g(x, y, z) = 0
- Brachistochrone: shortest time (John Bernoulli in 1696)

$$I = \int_{A}^{B} dt = \int_{A}^{B} \frac{ds}{v} = \int_{A}^{B} \frac{\sqrt{1 + {y'}^{2}}}{v} dx$$

$$\frac{1}{2} mv^{2} - \frac{1}{2} mv_{1}^{2} = mg(y_{1} - y) \qquad \begin{cases} v_{1} = 0 \rightarrow v = \sqrt{2g(y_{1} - y)} \\ v_{1} \neq 0 \rightarrow v = \sqrt{2g(y_{0} - y)} \end{cases} \quad y_{0} = y_{1} + \left(\frac{v_{1}^{2}}{2g}\right)$$

$$I = \frac{1}{\sqrt{2g}} \int_{x_{1}}^{x_{2}} \sqrt{\frac{1 + {y'}^{2}}{y_{0} - y}} dx$$

Geodesic Problem

$$I = \int_{x_1}^{x_2} ds = \int_{x_1}^{x_2} \sqrt{(dx)^2 + (dy)^2} = \int_{x_1}^{x_2} \sqrt{1 + {y'}^2} dx$$

$$F = \sqrt{1 + {y'}^2} \rightarrow \begin{cases} \frac{\partial F}{\partial y} = 0\\ \frac{d}{dx} \left(\frac{\partial F}{\partial y'}\right) = \frac{d}{dx} \left(\frac{y'}{\sqrt{1 + {y'}^2}}\right) \end{cases} \rightarrow \frac{d}{dx} \left(\frac{y'}{\sqrt{1 + {y'}^2}}\right) = 0$$

$$\rightarrow \frac{y'}{\sqrt{1 + {y'}^2}} = C \rightarrow y' = \sqrt{\frac{C}{1 - C}} = A \rightarrow y = Ax + B$$

Brachistochrone Problem

$$I = \int_{A}^{B} dt = \int_{A}^{B} \frac{ds}{v} = \int_{A}^{B} \frac{\sqrt{1 + {y'}^{2}}}{v} dx \to I = \frac{1}{\sqrt{2g}} \int_{x_{1}}^{x_{2}} \sqrt{\frac{1 + {y'}^{2}}{y_{0} - y}} dx$$
$$\frac{1}{2} mv^{2} - \frac{1}{2} mv_{1}^{2} = mg(y_{1} - y) \qquad \begin{cases} v_{1} = 0 \to v = \sqrt{2g(y_{1} - y)} \\ v_{1} \neq 0 \to v = \sqrt{2g(y_{0} - y)} \end{cases} \quad y_{0} = y_{1} + \left(\frac{v_{1}^{2}}{2g}\right) \end{cases}$$

$$F = \sqrt{\frac{1+y'^2}{y_0 - y}} \xrightarrow{\frac{1}{y'} \left[\frac{d}{dx} \left(F - \frac{\partial F}{\partial y' dx} \right) - \frac{\partial F}{\partial x} \right] = 0}{y_0 - y}} \sqrt{\frac{1+y'^2}{y_0 - y}} - y' \frac{\frac{2y'}{y_0 - y}}{2\sqrt{\frac{1+y'^2}{y_0 - y}}} = c \rightarrow \sqrt{\frac{1+y'^2}{y_0 - y}} - \frac{y'^2}{\sqrt{(y_0 - y)(1 + y'^2)}} = c$$

$$1 = c^2 \left(y_0 - y \right) \left(1 + y'^2 \right) \rightarrow \frac{1}{c^2 \left(y_0 - y \right)} = 1 + y'^2 \rightarrow y'^2 = \frac{1 - c^2 \left(y_0 - y \right)}{c^2 \left(y_0 - y \right)}$$

$$\rightarrow y' = \sqrt{\frac{1 - c^2 \left(y_0 - y \right)}{c^2 \left(y_0 - y \right)}} \xrightarrow{a = \frac{1}{2c^2}} x = \int \frac{\sqrt{y_0 - y}}{\sqrt{2a - (y_0 - y)}} dy \xrightarrow{y_0 - y = 2a \sin^2 \frac{\theta}{2}} \begin{cases} x = 2a \int \sin^2 \frac{\theta}{2} d\theta = a \left(\theta - \sin \theta \right) + x_0 \\ y = y_0 - 2a \sin^2 \frac{\theta}{2} = -a \left(1 - \cos \theta \right) + y_0 \end{cases}$$

Size and Shape Optimization - 19

Optimal Weight Design Problem: 10 Bar Truss



min
$$W(A) = \rho \sum_{i=1}^{10} l_i A_i$$

s. t. $G_i = \sigma_i \le b_i, (i = 1, 2, ..., 10)$
 $G_k = v_k \le b_k, (k = 2, 3, 5, 6)$

 $A_i^L \leq A_i \leq A_i^U$, (i = 1, 2, ..., 10), $\sigma_i^L \leq \sigma_i \leq \sigma_i^U, \quad (i = 1, 2, .., 10),$ $v_k^L \leq v_k \leq v_k^U$, (k = 2, 3, 5, 6),

where

S.

$$\sigma_{i} = \epsilon_{i} E, (i = 1, 2, .., 10)$$
$$\begin{bmatrix} u_{k} \\ v_{k} \end{bmatrix} = \{F\} [K]^{-1}, (k = 2, 3, 5, 6)$$

$11.5 \le A_1 \le 12.5$	$8.0 \leq A_2 \leq 9.0$	
$0.1 \leq A_3 \leq 1.0$	$5.5 \leq A_4 \leq 6.5$	
$5.5 \leq A_{\delta} \leq 6.0$	$8.0 \leq A_6 \leq 9.0$	
$8.0 \leq \overline{A_7} \leq 9.0$	$0.1 \le A_8 \le 1.0$	
$0.1 \leq A_9 \leq 1.0$	$0.1 \le A_{10} \le 1.0$	
$E = 10^7$	$\rho = 0.1$	
$ \sigma \le 25000$	$ v_6 \leq 5.0$	
$l_{1-4,9,10} = 360$	$P=1\overline{0}^{5}$	
$l_{5-8} = 360\sqrt{2}$		

Size and Shape Optimization - 20

Results: 10 Bar Truss

	improved GA	DCOC	Dual	DOC-FSD
A_1	12.131896	12.161173957	12.161173956	12.126576172
A_2	8.794619	8.707029023	8.707029026	8.827450732
A ₃	0.100000	0.100000000	0.100000000	0.100000000
A4	6.065801	6.040579884	6.040579884	6.046585281
A5	5.100000	5.560164853	5.560164853	5.564322434
A ₆	8.539911	8.573640198	8.573640196	8.497882192
A7	8.575261	8.542669996	8.542669996	8.551162911
A ₈	0.100000	0.100000000	0.100000000	0.100000000
A9	0.100000	0.10000000	0.100000000	0.100000000
A ₁₀	0.100000	0.10000000	0.100000000	0.100000000
W(lb)	2118.626	2139.105	2139.105	2139.198

	σ_i	fi
l_1	166.2779	20215.11096
l_2	-2249.6584	-19784.88904
l_3	475.6522	47.56522
4	-1640.7454	-9952.43478
l_5	2713.3182	13837.92279
16	-1691.6275	-1446.34846
l7	1641.3341	14074.86824
18	-672.6738	-67.26738
lg	2626.7618	262.67618
l ₁₀	475.6522	47.56522

node	uk	v_k
1	0	0
2	0.606673	-1.817000
3	0.768973	-4.83595
4	0	0
5	-0.827898	-2.78003
6	-1.422710	-4.99826

Size Design in MCAE



Shape Optimization (1)

- FEM + Design Sensitivity + SLP
 - O. C. Zienkiewicz and J. S. Campbell, Shape Optimization and Sequential Linear Programming, International Symposium on Optimization of Structural Design, University of Wales, Swansea, January 1973
- Adaptation of Nodal Points on the Boundary
- Without using parametric representation, they adapted the nodes of the finite element model → a lot of problem !
 - possibility of non-smoothed optimum shape due to non-smooth stresses on the design boundary
 - possibility of excessive element distortion
 - unclear adaptation schemes



(b)

Shape Optimization (2)

- Reducing stresses at a boundary by changing that boundary
- Difficulties in shape optimization
 - Accuracy of the FE analysis? continuously changing FE model
 - Good sensitivity derivatives w.r.t. shape design variables? expensive



R.T. Haftka and R.V. Grandhi, Structural Shape Optimization–A Survey, *Computer Methods in Applied Mechanics and Engineering*, 57, pp.91-10, 1986

Design Variables

- Coordinates of the boundary nodes of FE model
 - Accuracy problem
- Polynomial coefficients: polynomial describing boundaries
 - High-order? Oscillation
- (cubic) spline, Bezier and B-spline blending functions
- Design element concept



- 4 subregions
- I design element (region (4))
- master nodes
- design variables

FE mesh generation (1)

 Manual mesh refinement: simple modification rules for deforming the initial mesh



- Automated mesh generation: adaptive mesh refinement
 - Adding additional elements in the area to be refined
 - Increasing the order of the FE
 - FE mesh points are relocated
 - FE analysis + automatic mesh generation + structural optimization

FE mesh generation (2)





- Optimum mesh refinement
 - Optimum mesh + optimum shape

Sensitivity derivatives calculation

Differentiation of the discretized (FE) system

$$KU = F \rightarrow K \frac{dU}{dx} = \frac{dF}{dx} - U \frac{dK}{dx}$$

- Calculation of dK/dx is quite costly even small changes of the boundary
- Spurious component: changes in shape \rightarrow distortion of the FE
- Differentiation of continuum equations
 - Concept of material derivatives
 - Differentiation \rightarrow discretization: avoid spurious errors
 - Numerical difficulties in boundary integrals

Solution techniques

- Calculus of variations and optimality criteria methods
 - Optimality criteria: either rigorous or intuitive (uniform tangential stress or the strain energy density along the boundary)
- Pattern transformation methods
 - Transforming the shape of the boundary based on the stress ratio in the boundary finite elements
- The photoelasticity technique
 - Optimize the shape of the holes experimentally
 - Modifying hole boundaries in a two-dimensional photoelastic model until the tensile and compressive boundary stresses were approximately constant
- Boundary element method
 - Overcome two major drawbacks: FE mesh regeneration and difficulties in sensitivity derivatives calculation
 - Not as reliable as the FEM

Shape: Formulation (1)



 Ω : variable unknown domain

Typical Setting of Optimization Finite Element Representation



Shape: Formulation (2)

• Virtual Work Principle

$$a(\boldsymbol{u},\boldsymbol{v}) = f(\boldsymbol{v}) \quad \forall \boldsymbol{v}$$

$$\Leftrightarrow \underbrace{\int_{\Omega} \varepsilon(\mathbf{v})^{T} \mathbf{E}\varepsilon(\mathbf{u}) d\Omega}_{\text{internal virtual work}} = \underbrace{\int_{\Omega} \varepsilon(\mathbf{v})^{T} \mathbf{E} \alpha d\Omega}_{\text{thermal load}} + \underbrace{\int_{\Omega} \mathbf{v}^{T} \rho \mathbf{b} d\Omega}_{\text{body force}} + \underbrace{\int_{\Gamma_{t}} \mathbf{v}^{T} t d\Gamma}_{\text{applied traction}} \quad \forall \mathbf{v}$$

• Finite Element Approximation: $\mathbf{K}\mathbf{u} = \mathbf{f}$

- Design Sensitivity: Design Variable = Control Points x_{cp}
 - A lot of mathematical evaluation is necessary to compute required design sensitivity for shape design

Design Sensitivity

- Step 1 : Relation between FE nodes and Design control points
- Step 2 : Design Sensitivity w.r.t. control points

$$\boldsymbol{x} = \boldsymbol{T}\boldsymbol{x}_{cp}$$

$$\frac{D}{D\boldsymbol{x}_{cp}}(\boldsymbol{K}\boldsymbol{u}) = \frac{D\boldsymbol{K}}{D\boldsymbol{x}_{cp}}\boldsymbol{u} + \frac{D\boldsymbol{u}}{D\boldsymbol{x}_{cp}}$$

$$\frac{D\boldsymbol{K}}{D\boldsymbol{x}_{cp}} = \frac{D}{D\boldsymbol{x}_{cp}} \sum_{e=1}^{nel} \boldsymbol{K}_{e} = \frac{D}{D\boldsymbol{x}_{cp}} \sum_{e=1}^{nel} \int_{\Omega_{e}} \boldsymbol{B}^{T} \boldsymbol{E} \boldsymbol{B} d\Omega = \frac{D}{D\boldsymbol{x}_{cp}} \sum_{e=1}^{nel} \int_{\Omega_{R}} \boldsymbol{B}^{T} \boldsymbol{E} \boldsymbol{B} J d\Omega_{R}$$
$$= \sum_{e=1}^{nel} \int_{\Omega_{R}} \left(\frac{D\boldsymbol{B}}{D\boldsymbol{x}_{cp}}\right)^{T} \boldsymbol{E} \boldsymbol{B} J d\Omega_{R} + \sum_{e=1}^{nel} \int_{\Omega_{R}} \boldsymbol{B}^{T} \boldsymbol{E} \left(\frac{D\boldsymbol{B}}{D\boldsymbol{x}_{cp}}\right) J d\Omega_{R} + \sum_{e=1}^{nel} \int_{\Omega_{R}} \boldsymbol{B}^{T} \boldsymbol{E} \left(\frac{D\boldsymbol{B}}{D\boldsymbol{x}_{cp}}\right) J d\Omega_{R} + \sum_{e=1}^{nel} \int_{\Omega_{R}} \boldsymbol{B}^{T} \boldsymbol{E} \boldsymbol{B} d\Omega_{R}$$

- Design Sensitivity Analysis must be in FEA codes
- Design Sensitivity Analysis must be linked with spline representation of design segments/surfaces $DB \quad DB \quad \partial x \quad DB$ _

$$\frac{DB}{Dx_{cp}} = \frac{DB}{Dx} \frac{\partial x}{\partial x_{cp}} = \frac{DB}{Dx} T$$

Practical Approach

- Difficulties
 - Every FEA code does have their own special finite elements, and then design sensitivity must be performed in such a FEA code
 - Geometric representation of the control points and the FE nodes must be related, and then this requires full link with CAD representation and mesh generation scheme
 - Full integration of
 - CAD like representation of Design Segments
 - Control Point Adaptation
 - Adaptive Finite Element Method
 - Full Automatic Mesh Generation Method is not realistic in practice.
- What is a possible alternate ?
 - GENESIS Approach / Bio-mechanical Growth Approach

Shape Design Parameters (1)

- Geometry-based mesh parameterization
 - Higher-level geometry data: surface control points, fillet radii
 - Mapped / free meshes
 - Integration with parametric solid modelers ③
 - Mesh generator must be included ⊗

Design Boundary Segment Ο Ο

Ο

Control Points

- Reduced basis approach
 - Base configuration with a distinct mesh topology that remains fixed during the optimization
 - How to generate the design velocities (design base shapes for complex FE meshes)? $(V(h)) = (V(h) + \sum_{k=1}^{N_s} h_k(k))$

$$\{X(b)\} = \{X_0\} + \sum_{\substack{\text{original nodal}\\\text{coordinates}}}^{N_s} b_k \{V_k\}$$

 $\{V_k\} = \{X_k\} - \{X_0\}$: *k*-th design velocity vector b_k : shape parameters

Shape Design Parameters (2)

 Design velocities giving shape changes as a function of shape design parameters



GENESIS Approach (1)



Linear Combination of Base Shapes generated by FE deformation by artificial loads



GENESIS Approach (2)

- Advantage
 - Design boundary change is smooth and can be controlled, since elastic deformation due to fictitious load is regarded as a base design change
 - Finite element distortion is minimized
 - Remeshing methods need not be integrated, since the initial finite element connectivity is maintained during optimization
- Success
 - By creating interactive preprocessor to define the base shapes for the design change, but it is independent of CAD S/W
 - Three-dimensional curved design segments are treated by the same way
 - FORD extensively uses this after Topology Design results to make detailed design

Bio-mechanical Growth (1)

- Similarity with thermal deformation
 - increasing temperature results expansion
 - cooling results shrinkage of a structure
- (temperature change) = (difference between the current stress and the targeted one) in the optimality criteria method
- Characteristics
 - Bio-mechanical growth approach is quite powerful for the fully stressed design approach and also for the optimality criteria method for design optimization
 - It is similar to GENESIS approach in the sense that fictitious loadings are considered to adapt the design shape and no need to make remeshing schemes

Bio-mechanical Growth (2)

For the Fully Stressed Design

thermal loading

$$\boldsymbol{f}_{thermal}^{e} = \int_{\Omega_{e}} \boldsymbol{B}^{T} \boldsymbol{D} \Delta T \alpha d\Omega$$

fictitious loading

$$\boldsymbol{f}_{thermal}^{e} = \int_{\Omega_{e}} \boldsymbol{B}^{T} \boldsymbol{D} \left(\frac{\boldsymbol{\sigma} - \boldsymbol{\sigma}_{target}}{\boldsymbol{\sigma}_{target}} \right)^{\eta} \alpha d\Omega$$

Shrink if stress is too low, enlarge if stress is too high

Nature of Shape Change

- If dramatic shape change is not required, CAD linked remeshing scheme with full automatic mesh generation methods is not quite essential.
- Thus, if shape design is considered after topology optimization, then both GENESIS and Bio-mechanical growth approaches are sufficiently powerful.
- Shape design optimization
 - Since Topology Design Optimization does not include many design constraints, Shape Design stage should involve all kind of design restriction not only for
 - stiffness, strength, local buckling
 - but also
 - manufacturability
 - geometric constrains

Engine Connecting Rod: Problem Description

- Minimize mass with a limit on the maximum allowable von Mises stress developed under the applied pressure load
- 1120 3D solid elements
- Design variables
 - Outer radius at crank
 - Outer radius at piston
 - Rod body curvature
 - Flange thickness
 - Flange width



Engine Connecting Rod: Basis Vectors





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Engine Connecting Rod: Results

Design Cycle	b_1	b_2	<i>b</i> 3	b_4	<i>b</i> 5
0	0.00000	0.00000	0.00000	0.00000	0.00000
1	0.10000	0.10000	0.10000	0.00397	-0.01313
2	0.15121	0.20000	0.20000	-0.01992	-0.11313
3	0.18213	0.33333	0.33333	-0.09861	-0.21313
4	0.21461	0.56275	0.37941	-0.10697	-0.35258
5	0.21317	0.56275	0.37876	-0.10733	-0.35314





weight reduction (23.1%)

Classification of Shape Optimization



Nonparametric optimization



Nonparametric Optimization

Deign Variable	value	function
Objective	$J_0 = \boldsymbol{P} \cdot \boldsymbol{u}$	$J_0 = \int_{\Gamma} \boldsymbol{P} \cdot \boldsymbol{u} \mathrm{d}\Gamma$
Constraint	$J_1 = m(X) - m_0 \le 0$	$J_1 = \int_{T_s(\Omega)} dx - m_0 \le 0$
Equilibrium	K(X)u = P	$\int_{\mathbf{T}_{s}(\Omega)} \sigma(\mathbf{u}) : \varepsilon(\mathbf{v}) d\mathbf{x} = \int_{\Gamma} \mathbf{P} \cdot \mathbf{v} \mathrm{d}\Gamma$

Design Variable: Value

- Lagrange multiplier method

$$L(\boldsymbol{u},\boldsymbol{v},\Lambda,\boldsymbol{X}) = \boldsymbol{P} \cdot \boldsymbol{u} - \boldsymbol{v} \cdot (K\boldsymbol{u} - \boldsymbol{P}) + \Lambda(\boldsymbol{m} - \boldsymbol{m}_{0})$$

$$\frac{\partial L}{\partial \boldsymbol{u}} = \boldsymbol{P} - K\boldsymbol{v} = 0 \qquad \text{Adjoint equation: } \boldsymbol{v}$$

$$\frac{\partial L}{\partial \boldsymbol{v}} = \boldsymbol{P} - K\boldsymbol{u} = 0 \qquad \text{State equation: } \boldsymbol{u}$$

$$\frac{\partial L}{\partial \Lambda} = \boldsymbol{m} - \boldsymbol{m}_{0} = 0, \ \Lambda \ge 0, \ \Lambda(\boldsymbol{m} - \boldsymbol{m}_{0}) = 0 \qquad \text{Lagrange multiplier: } \boldsymbol{\Lambda}$$

$$\Delta L|_{\boldsymbol{u},\boldsymbol{v},\Lambda} = \Delta J_{0}|_{\boldsymbol{u},\boldsymbol{v},\Lambda} = \frac{\partial L}{\partial \boldsymbol{X}} \cdot \Delta \boldsymbol{X} = \left(-\boldsymbol{v} \cdot \frac{\partial K}{\partial \boldsymbol{X}}\boldsymbol{u} + \Lambda \frac{\partial \boldsymbol{m}}{\partial \boldsymbol{X}}\right) \cdot \Delta \boldsymbol{X} = \boldsymbol{G}_{\boldsymbol{X}} \cdot \Delta \boldsymbol{X}$$

$$\begin{array}{c}\uparrow\\ \mathbf{Gradient\end{array}$$

Design Variable: Function

- Lagrange multiplier method
- Adjoint variable method
- Material derivatives



Representation of Shape Change

- Domain mapping (Zolesio 1981)
- Velocity V: derivative of T_s w.r.t. s

$$X \in \Omega$$

$$X \in \Omega$$

$$X \in \Omega \xrightarrow{T_s} X \in \Omega_s$$

$$X \in \Omega \xrightarrow{T_s} X \in \Omega_s$$

$$x = T_s(X)$$

$$V(x) = \frac{\partial x}{\partial s} = \frac{\partial T_s(X)}{\partial s} = \frac{\partial T_s}{\partial s} (T_s^{-1}(x))$$

Dirichlet Problem

- Elliptic boundary value problem
 - Strong form

- Strong form

$$\begin{cases}
-\nabla \cdot \nabla u(x) = f(x) \quad x \in \Omega \quad \text{Elliptic P.D.E.} \\
u(x) = 0 \quad x \in \Gamma \quad B.C.
\end{cases} \quad \nabla = \begin{cases}
\frac{\partial}{\partial x_1} \\
\frac{\partial}{\partial x_2} \\
\frac{\partial}{\partial x_3}
\end{cases}$$

- Weak form

$$a(u,v) = l(v) \quad \forall v \in U = H_0^1(\Omega) = \left\{ u \in H^1(\Omega) \middle| \ u \middle|_{\Gamma} = 0 \right\}$$

where $a(u,v) = \int_{\Omega} \nabla u \cdot \nabla v \, dx$
 $l(v) = \int_{\Omega} fv \, dx$

Shape Optimization Problem Formulation

$$J(u) = \int_{\Omega} F(u) \, dX$$

$$\overbrace{\substack{\Omega \subset \mathbb{R}^n \\ a(u,v) = l(v) \\ \int_{\Omega} dx \le M_0}} \forall v \in U$$

$$\overbrace{\substack{\Lambda \\ D}} dx \le M_0$$

$$Adjoint variable function$$

$$L(u,v) = J(u) - a(u,v) + l(v) + \Lambda\left(\int_{\Omega} dx - M_0\right)$$

Lagrange multiplier

Material Derivatives

$$\phi(X) \mapsto \phi^{s}(X) \equiv \phi^{s}(T_{s}^{-1}(x)) = \phi_{s}(x) = \phi_{s}(T_{s}(X)) \quad (X \in \Omega \mapsto x \in \Omega_{s})$$

• Distribution function

$$\dot{\phi}(X) \equiv \underbrace{\phi'(X)}_{\text{shape derivative}} + \left[\nabla\phi(X)\right] \cdot V(X)$$

Domain Functional

$$I_{\Omega}(\phi) = \int_{\Omega} \phi dx \longrightarrow \dot{I}_{\Omega}(\phi) = \int_{\Omega} \phi'(X) dX + \int_{\Gamma} \phi(X) v \cdot V(X) d\Gamma$$

Boundary Functional

$$I_{\Gamma}(\phi) = \int_{\Gamma} \phi d\Gamma \to \dot{I}_{\Gamma}(\phi) = \int_{\Gamma} \{\phi'(X) + [(\nabla \phi(X)) \cdot \nu + \phi(X)\kappa]\nu \cdot V(X)\} d\Gamma$$

Shape Gradient

$$L(u,v) = J(u) - a(u,v) + l(v) + \Lambda \left(\int_{\Omega} dx - M_0 \right)$$

$$\dot{L} = \int_{\Omega} \frac{\partial F}{\partial u} u' dX - a(u',v) + l(v') - a(u,v') + \dot{\Lambda} \left(\int_{\Omega} dx - M_0 \right)$$

$$+ \int_{\Gamma} \left(F(u) - (\nabla u) \cdot \nabla v + fv + \Lambda \right) v \cdot V d\Gamma$$

$$a(u,v) = l(v) \quad \forall v \in U$$
$$u_0 \in U_0, \ u - u_0 \in U$$

$$a(u,v) = \int_{\Omega} C_{ijkl} u_{k,l} v_{i,j} dx$$

$$l(v) = \int_{\Omega} p_i v_i dx + \int_{\Gamma_p} P_i v_i d\Gamma$$

$$U_0 = \left\{ u_0 \in \left(H^1(\Omega)\right)^n \middle| u \middle|_{\Gamma \setminus \Gamma_0} = 0 \right\}$$

$$U = \left\{ u \in \left(H^1(\Omega)\right)^n \middle| u \middle|_{\Gamma_0} = 0 \right\}$$



$$\Pi(u) = \frac{1}{2}a(u,u) - l(u)$$

$$\begin{array}{l}
\max_{\Omega \subset i} \min_{u \to u_0 \in U} \Pi(u) \\
\text{such that } \int_{\Omega} dx \leq M_0
\end{array}$$

Shape Gradient: Linear Elastic

$$L(u,\Lambda) = -\frac{1}{2}a(u,u) + l(u) + \Lambda\left(\int_{\Omega} dx - M_{0}\right)$$

$$\dot{L} = -a(u,u') + l(u') + \dot{\Lambda}\left(\int_{\Omega} dx - M_{0}\right)$$

$$+ \int_{\Gamma} \left\{-\frac{1}{2}C_{ijkl}u_{k,l}v_{i,j} + p_{i}u_{i} + \Lambda\right\}v_{m}V_{m} d\Gamma$$

$$= -a(u,u') + l(u') + \dot{\Lambda}\left(M(\Omega) - M_{0}\right) + l_{G}(V)$$

Direct Gradient Method

$$V \in D: b(V, y)_{(H^{0}(\Gamma))^{n}} = -(GV, y)_{(H^{0}(\Gamma))^{n}}$$

$$\forall y \in Y$$

$$D = \left\{ V \in (C^{1}(\overline{\Omega}))^{n} \middle| V \middle|_{\Gamma_{0} \cup \Gamma_{P}} = 0 \right\}$$

$$Y = \left\{ y \in (H^{0}(\Gamma))^{n} \middle| y \middle|_{\Gamma_{0} \cup \Gamma_{P}} = 0 \right\}$$

$$V \text{ needs to be continuous.}$$



Problems when nodes are relocated proportional to -Gv

(From Braibant and Fleury 1984,1985)

Traction Method

- Apply tensional boundary force proportional to -Gv
- Shape gradient: Neumann B.C. → Solve boundary value problem

$$V \in D: a(V, y) = -l_G(y) \quad \forall y \in Y$$
$$a(u, v) = \int_{\Omega} C_{ijkl} u_{k,l} v_{i,j} \, dx$$
$$l_G(v) = \int_{\Gamma} G v_i v_i \, d\Gamma$$
$$D = \left\{ V \in \left(C^1(\overline{\Omega})\right)^n \middle| \begin{array}{c} V \middle|_{\Gamma_0 \cup \Gamma_P} = 0 \right\}$$
$$Y = \left\{ y \in \left(H^1(\Omega)\right)^n \middle| \begin{array}{c} y \middle|_{\Gamma_0 \cup \Gamma_P} = 0 \right\}$$



Traction Method: Procedure



Traction Method: Example



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Michell Truss



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MBB beam



L-shape



Vehicle Structure

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